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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------|----------------------|----------------------|---------------------|------------------|
| 10/804,386 | 03/19/2004 | Michael L. Garrison | 1-37234 | 7250 |
| 42715 7 | 590 06/06/2006 | EXAMINER | | |
| • | ODDING & ROGERS, | YABUT, DIANE D | | |
| P.O. BOX 163° OKLAHOMA | 70 CITY, OK 73113 | ART UNIT | PAPER NUMBER | |
| | | | 3734 | |

DATE MAILED: 06/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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| | | Α | pplication No. | | Applicant(s) | | | |
|---|--|---|--|---|--|------------------|--|--|
| Office Action Summary | | 1 | 0/804,386 | | GARRISON ET AL. | | | |
| | | E | xaminer | | Art Unit | | | |
| | | D | iane Yabut | | 3734 | | | |
| Period fo | The MAILING DATE of this commur r Reply | nication appear | rs on the cover s | sheet with the c | orrespondence ad | dress | | |
| WHIC - Exter after - If NO - Failu Any r | ORTENED STATUTORY PERIOD FOR HEVER IS LONGER, FROM THE NOTES IS LONGER IN THE PROVISIONS SIX (6) MONTHS from the mailing date of this comperiod for reply is specified above, the maximum street to reply within the set or extended period for reply eply received by the Office later than three months and patent term adjustment. See 37 CFR 1.704(b). | MAILING DATE s of 37 CFR 1.136(a munication. ratutory period will a r will, by statute, cau | E OF THIS CON). In no event, however pply and will expire SI use the application to the | MMUNICATION er, may a reply be tim X (6) MONTHS from the pecome ABANDONED | l. ely filed the mailing date of this co O (35 U.S.C. § 133). | | | |
| Status | | | | | | | | |
| 1)⊠ | Responsive to communication(s) file | ed on <i>02 May</i> | <u>2006</u> . | | | | | |
| 2a)□ | This action is FINAL . | • | | | | | | |
| 3) 🗌 | Since this application is in condition | for allowance | except for form | nal matters, pro | secution as to the | merits is | | |
| | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | | |
| Dispositi | on of Claims | | | | | | | |
| 4)🖂 | Claim(s) 1-21 is/are pending in the | application. | | | | | | |
| | 4a) Of the above claim(s) <u>5-7,15-17 and 19-21</u> is/are withdrawn from consideration. | | | | | | | |
| 5) 🗀 | Claim(s) is/are allowed. | | | | | | | |
| 6)⊠ | Claim(s) <u>1-4,8-14 and 18</u> is/are reje | cted. | | | | | | |
| • | Claim(s) is/are objected to. | | | | | | | |
| 8) | Claim(s) are subject to restrict | ction and/or el | ection requirem | ient. | | | | |
| Applicati | on Papers | | | | | | | |
| 9)⊠ | The specification is objected to by th | e Examiner. | | | | | | |
| 10)⊠ | The drawing(s) filed on <u>19 March 20</u> | <u>04</u> is/are: a)[| ☑ accepted or b |) objected to | by the Examiner | | | |
| | Applicant may not request that any object | ction to the dra | wing(s) be held ir | n abeyance. See | 37 CFR 1.85(a). | | | |
| | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | |
| 11) | The oath or declaration is objected t | o by the Exam | niner. Note the a | attached Office | Action or form P1 | Г О-152 . | | |
| Priority u | ınder 35 U.S.C. § 119 | | | | | | | |
| | 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: | | | | | | | |
| | 1. Certified copies of the priority documents have been received. | | | | | | | |
| | 2. Certified copies of the priority documents have been received in Application No3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | | |
| | Copies of the certified copies application from the Internation | | | | tu iii iiiis ivalionai | Stage | | |
| * 0 | See the attached detailed Office action | • | | * * | d | | | |
| | see the attached detailed office dotte | | are coramed cop | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | u. | | | |
| Attachmen | t(s) | | | | | • | | |
| 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) | | | | | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date Notice of Informal Patent Approximation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | | | | | | O-152) | | |
| Paper No(s)/Mail Date <u>5/27/04</u> ; 6/7/04. | | | | | | , | | |

Continuation of Attachment(s) 6). Other: IDS (cont'd): 8/30/04; 10/1/04; 3/24/05.

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DETAILED ACTION

Election/Restrictions

1. Applicant's election with traverse of Species 1 in the reply filed on 02 May 2006 is acknowledged. The traversal is on the ground(s) that the various species reflect various alternative structures used to space a portion of the elongate member away from a body vessel wall during deployment of an expandable intraluminal medical device, and would require a single search and not impose an unduly burdensome requirement on the Examiner. This is not found persuasive because each of the species is patentably distinct from the other and do not overlap in scope are and are not obvious variants of each other. Therefore these alternative structures would require more than a single search and impose a burden on the Examiner.

The requirement is still deemed proper and is therefore made FINAL.

2. <u>Claims 5-7, 15-17, and 19-21 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to nonelected Species 2-7, there being no allowable generic or linking claim.</u> Applicant timely traversed the restriction (election) requirement in the reply filed on 02 May 2006.

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Information Disclosure Statement

3. The information disclosure statement (IDS) submitted on 27 May 2004 is acknowledged. In addition, the information disclosure statements submitted on 07 June 2004, 30 August 2004, 01 October 2004, and 24 March 2005 are acknowledged. The submissions are in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statements are being considered by the examiner. However, the non-patent literature disclosed was not considered by the examiner of 24 March 2005, and applicant should resubmit the documents listed.

Specification

- 4. The abstract of the disclosure is objected to because it should provide more detail in terms of the structures used for spacing the portion of the delivery device from the vessel wall and the steps of the method for spacing and deployment of the expandable intraluminal medical device. Correction is required. See MPEP § 608.01(b).
- 5. The disclosure is objected to because of the following informalities: A detailed description of Figure 7 is missing. Applicant should mention Figure 7 in the disclosure with detail.

Appropriate correction is required.

Claim Objections

6. Claims 2-4, 8-12, 14, and 18 are objected to because of the following informalities: Claims 2-4 and Claims 8-12 are dependent claims (of independent Claim 1) and read "A method for" and should instead read --The method for--. Claims 14 and 18 are also dependent claims (of independent Claim 13) and read "A delivery system for" and should instead read --The delivery system for--. Appropriate correction is required.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 8. Claims 1-4 are rejected under 35 U.S.C. 102(b) as being anticipated by **Kirkman** (U.S. Patent No. **6,071,263**).
- Claim 1: Kirkman discloses a method for delivering and deploying an expandable intraluminal device, providing a delivery system comprising an elongate member 4 having proximal and distal ends, and the expandable intraluminal medical device 154 circumferentially disposed about a portion of the elongate member 4 (Figure 10A and 10B). The distal end of the elongate member 4 is inserted into a body vessel, and the distal end of the elongate member 4 is advanced 4 through the body vessel to the desired point of treatment. A portion of the elongate member is spaced from a wall

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surface of the blood vessel, and the expandable intraluminal medical device is deployed from the elongate member. Lastly, the elongate member is withdrawn from the body vessel (col. 12, lines 26-28 and col. 13, lines 5-50).

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Claim 2: Kirkman also discloses that the step of spacing a portion of the elongate member from a wall surface of the body vessel comprises spacing a portion of the elongate member that includes the expandable intraluminal device **154**, which lies at the catheter tip **8** (col. 12, lines 55-59).

Claim 3: Kirkman discloses that the elongate member 2 defines a lumen and the delivery system further comprises an ancillary delivery device 9 having a means for spacing a portion of the elongate member 2 from a wall surface of a body vessel (col. 3, lines 50-53 and col. 6, lines 43-47).

<u>Claim 4</u>: Kirkman discloses the means for spacing comprising a basket **9** formed from four wires **12** and having expanded and collapsed configurations (Figures 2A-2B and col. 8, lines 15-19).

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Claim Rejections - 35 USC § 103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 8-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kirkman** (U.S. Patent No. **6,071,263**) in view of **St. Germain et al.** (U.S. Patent No **5,534,007**).
- Claim 8: Kirkman discloses the claimed steps except for the delivery system further comprising a sheath that is circumferentially disposed about the elongate member and movable along the elongate member, and wherein the step of deploying the expandable intraluminal device comprises retracting the sheath from a position about the expandable intraluminal medical device
- St. Germain et al. teaches deployment catheter for an expandable intraluminal device that comprises a sheath 40 that is circumferentially disposed about and movable along an elongate member 5, and the step of deploying the expandable intraluminal device 35 comprises retracting the sheath 40 from a position about the expandable intraluminal medical device 35 (Figure 1 and col. 3, lines 27-36). St. Germain et al. teaches that the use of the sheath 40 retains the expandable intraluminal device 35 and protects the vessel wall (col. 3, lines 36-38). It would have been obvious to one of ordinary skill in the art at the time of invention to provide a sheath that is circumferentially disposed about the elongate member and retracting the sheath in the

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step of deploying the expandable intraluminal device, as taught by St. Germain et al., to Kirkman in order to retain the expandable intraluminal device before deployment and to protect the vessel wall from injury.

Claim 9: Kirkman discloses the elongate member 2 defining a lumen and the delivery system further comprising an ancillary delivery device 9 disposed within the lumen, the ancillary delivery device having a means for spacing a portion of the elongate member from a wall surface of a body vessel (Figures 2A and 2B).

<u>Claims 10-11</u>: Kirkman discloses the step of spacing a portion of the elongate member from a wall surface of the body vessel which comprises activating the means for spacing, which includes retracting the sheath from a position about the means for spacing (col. 8, lines 10-19).

- 11. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Kirkman** (U.S. Patent No. **6,071,263**) in view of **Pavcnik et al.** (U.S. Pub. No. **20010039450**).
- <u>Claim 12</u>: Kirkman discloses the claimed steps except for the expandable intraluminal medical device comprising a prosthetic venous valve

Pavcnik et al. teaches an intraluminal venous valve **43** that is deployed within the blood vessel and exerts force against the wall of the vessel and provides a partial seal against the wall, while having expandable and collapsible features (Figures 48-49 and page 1, paragraph 6, page 6, paragraph 68, and page 10, paragraph 87). It would have been obvious to one of ordinary skill in the art at the time of invention to provide a

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prosthetic venous valve device, as taught by Pavcnik et al., to the device of Kirkman, since it was known in the art that the delivery system may deploy any suitable expandable intraluminal medical device, such as a prosthetic venous valve.

12. Claims 13,14, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Levine et al. (U.S. Pub. No. 20040087965) in view of Kirkman (U.S. Patent No. 6,071,263) and Pavcnik et al. (U.S. Pub. No. 20010039450).

Claim 13: Levine et al. discloses a delivery system comprising an elongate member 118 having proximal and distal ends and defining a first lumen, an expandable intraluminal medical device 108, a sheath 1000 circumferentially disposed about the elongate member and the expandable intraluminal device, the sheath being movable along the elongate member 118, and an ancillary delivery device 106 disposed in the first lumen and having a basket formed from at least two wire members and having expanded and collapsed configurations, wherein the basket is in the collapsed configuration when disposed in the first lumen and is in the expanded configuration when not disposed in the first lumen (Figures 3, 4F, and 10). Levine et al. discloses the claimed device except for the expandable intraluminal medical device 108 being circumferentially disposed about a portion of the elongate member 118.

Kirkman teaches an expandable intraluminal device **154** which is circumferentially disposed about the distal portion of elongate member **4** (Figure 10A). It would have been obvious to one of ordinary skill to provide the expandable intraluminal device **154** circumferentially disposed about the elongate member **4**, as

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taught by Kirkman, to Levine et al. since it was known in the art that devices that are delivered and deployed must be attached to the delivery device (in this case the delivery device is a catheter), and are commonly circumferentially disposed about the delivery device.

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<u>Claim 14</u>: Levine et al. discloses the wire members **104** comprising flat wire (page 3, paragraph 37).

Claim 18: Levine et al. discloses the claimed device except for the expandable intraluminal device comprising a prosthetic venous valve. Pavcnik et al. discloses an expandable intraluminal device comprising a prosthetic venous valve. See explanation for Claim 12 above in paragraph 18.

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Conclusion

13. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. **Majercak** (U.S. Patent No. **6,932,829**) discloses a centering catheter.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Diane Yabut whose telephone number is (571) 272-6831. The examiner can normally be reached on M-F: 9AM-4PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hayes can be reached on (571) 272-4959. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DY

MICHAEL J. HAYES PRIMARY EXAMINER